

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Docket No: Q77235
Kazuya UENISHI, et al.
Appln. No.: Unknown
Confirmation No.: Unknown Group Art Unit: Unknown
Filed: September 02, 2003 Examiner: Unknown
For: INTERMEDIATE LAYER MATERIAL COMPOSITION FOR MULTILAYER RESIST PROCESS AND PATTERN FORMATION PROCESS USING THE SAME

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under § 1.53(d); (2) Before the mailing date of the first Office Action on the-merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under § 1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

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(RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant submits the following explanations:

Japanese Patent Publication Nos. JP-B-4-43264 , JP-B-6-38400, JP-2,573,371, and JP 2,641,644 are cited and discussed on page 3 of the specification.

Japanese Patent Publication Nos. 2, 901,044 and JP-B-4-44741 are cited and discussed on page 4 of the specification.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,



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WASHINGTON OFFICE
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CUSTOMER NUMBER

Date: September 2, 2003

Substitute for Form 1449 A & B/PTO		<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Application Number	Unknown
		Confirmation Number	Unknown
		Filing Date	September 02, 2003
		First Named Inventor	Kazuya UENISHI
		Art Unit	Unknown
		Examiner Name	Unknown
Sheet	1	of	1
		Attorney Docket Number	Q77235

Examiner Signature _____ **Date Considered** _____

~~EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.~~

³Applicant's unique citation designation number (optional). ⁴See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ⁵Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁶For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁷Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. J6 if possible. ⁸Applicant is to